

# NIMH Data Archive

## Data Use Certification

*Last updated: July 17, 2017*

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## NIMH Data Archive Data Use Certification

### ***I. Introduction***

The National Institute of Mental Health (NIMH) Data Archive (NDA) are a group of Federal data repositories based on an informatics platform for research domains related to mental health, initially established as the National Database for Autism Research to support autism-related research. As of May 2017, the system has expanded to include the following domains:

- National Database for Autism Research (NDAR)—data submission and access
- National Database for Clinical Trials Related to Mental Illness (NDCT)—data submission and access
- Research Domain Criteria Database (RDoCdb)—data submission and access
- NIH Pediatric MRI Repository (PedsMRI)—data access only
- Adolescent Brain Cognitive Development (ABCD) Study—data submission and access

This form is for purposes of requesting permission to access data from the NDA. Recipients seeking access to data from any of the NDA domains must submit a Data Use Certification (DUC) certified and co-signed by the Principal Investigator and the designated Institutional Official(s). In order to submit data to the NDA, the NDA Data Submission Agreement (DSA) must be completed, which is a separate document.

### ***The NIMH Data Archive (NDA)***

The National Institutes of Health (NIH) and NIMH have developed a federation of data repositories to store the collection of data from participants in research studies related to mental health, regardless of the source of funding. The extensive information collected by these studies, and subsequently made available via the National Database for Autism Research (NDAR), the NIH Pediatric MRI Repository (PedsMRI), the National Database for Clinical Trials Related to Mental Illness (NDCT), the Research Domain Criteria Database (RDoCdb), and the Adolescent Brain Cognitive Development (ABCD) Study provides a rare and valuable scientific resource. The NIH and NIMH seek to encourage the use of these resources to achieve rapid scientific progress. In order to take full advantage of such resources and maximize their research value, it is important that data are **broadly** made **available**, on appropriate terms and conditions, to the largest possible number of qualified investigators in a timely manner. Data collected by the Submitters have been stripped of all individual identifiers, but the unique and intrinsically personal nature of genomics data, brain imaging, and other derivative data of which are included in these repositories, combined with the recent increase in the accessibility of conducting genotype and other sequence analyses (in terms of technological capacity and cost), has altered the framework through which “identify-ability” can be defined. To protect and assure the confidentiality and privacy of all participants, the Recipient who is granted access to these data is expected to adhere to the specifications of this DUC. Failure to do so could result in denial of further access to data.

### **National Database for Autism Research (NDAR)**

The [National Database for Autism Research \(NDAR\)](#) is an NIH-funded research data repository that aims to accelerate progress in autism spectrum disorder (ASD) research through data sharing, data harmonization, and the reporting of research results. Raw genomics, clinical, imaging, and neurosignal recordings data and results are available.

### **National Database for Clinical Trials Related to Mental Illness (NDCT)**

NIMH has made data sharing an expectation for all future clinical trials funded by the NIMH (see [NOT-MH-14-015](#)). Researchers are expected to submit both positive and negative data and results from NIMH-funded clinical trials to the [National Database for Clinical Trials Related to Mental Illness \(NDCT\)](#). NDCT will provide a system to support the submission, sharing and access of relevant data at all levels of biological and behavioral organization and for all data types. At present, data submitted to NDCT will be the result of grants funded through a series of NIMH [funding opportunity announcements](#) (FOAs).

### **Research Domain Criteria Database (RDoCdb)**

The [Research Domain Criteria \(RDoC\)](#) initiative aligns research in neuroscience and behavioral science to develop a precision-medicine approach for classifying mental illnesses. In contrast to current symptom-based diagnostic systems for mental illnesses, precision medicine integrates many levels of information for each patient to define a precise diagnosis. Data submitted to the RDoC Database (RDoCdb) will include the results of grants funded through a series of NIMH FOAs in support of the RDoC project, as well as relevant data submitted by other interested investigators, regardless of funding source. More information on the RDoC project and related FOAs can be found at <http://www.nimh.nih.gov/research-priorities/rdoc/index.shtml>. Omics data associated with these studies are found in the National Library of Medicine supported genomics repositories (dbGaP and SRA).

### **NIH Pediatric MRI Data Repository (PedsMRI)**

The goal of the NIH MRI Study of Normal Brain Development and the resulting [Pediatric MRI Data Repository \(PedsMRI\)](#) is to generate data that can help foster a better understanding of normal brain maturation as a basis for understanding atypical brain development associated with a variety of developmental, neurological, and neuropsychiatric disorders affecting children and adults.

### **Adolescent Brain Cognitive Development Study (ABCD)**

The ABCD Study is a long-term study of brain development and child health in the United States. Multiple NIH Institutes and Centers and additional federal partners are supporting this ambitious project. The ABCD Consortium consists of a Coordinating Center, a Data Analysis and Informatics Center, and 21 research sites across the country where investigators will perform regular, comprehensive biological and behavioral assessments on more than 10,000 children beginning when they are ages 9 or 10, continuing throughout adolescence into early adulthood. A more complete description of the study is available at <https://abcdstudy.org>.

## ***II. Definitions***

For purposes of this agreement, “data” refers to the information which have been collected and recorded from participants in any study, regardless of the source of funding. For human subjects, data include all research and clinical assessments and information obtained via interviews, direct observations, laboratory tasks and procedures, records reviews, genetic and genomic data (related to autism only), neuroimaging data, psychophysiological assessments, data from physical examinations, etc. The following are not included as data: laboratory notebooks, preliminary analyses, drafts of scientific papers, plans for future research, peer review reports, communications with colleagues, or physical objects, such as gels or laboratory specimens.

A “Submitter” is defined as a researcher with a past or current/active grant, contract, or consulting agreement with the NIH, one of its contractors, or any other funding source, who has submitted data to the NDA, according to the policies laid out in the NDA Submission Agreement.

The “Recipient” is a researcher at a non-profit or for-profit organization or corporation with an approved Federal Wide Assurance (FWA) from the Department of Health and Human Services Office for Human Research Protections (OHRP), as well as any collaborating organizational staff listed in the NDA DUC. The Recipient requests access to study data at his or her sole risk and at no expense to the study or the NIH.

### **III. Instructions**

1. Read the DUC.
2. Complete Section VII. Recipient Information and Certifications. List all the collaborating investigators at your organization. By submitting an individual’s name on the form, you and your Institutional Official affirm that the collaborators have read and agreed to the terms and conditions within the DUC. Collaborators at different organizations/institutions must complete separate requests for the data sponsored by their own organization/institution. Coordinated requests by collaborating organizations should all use the same title in their request and each should reference the others in the Research Use Statement.
3. Sign and date the Section VII. Recipient Information and Certification page, and obtain an Institutional Official’s signature and date. Only signatures by institutional officials listed as a signing official (SO) in the eRA Commons system will be accepted.
4. Provide a scanned copy of this complete document including the instructions and DUC pages, with appropriate signatures, to the NDA within the systems described or email the document to [NDAHelp@mail.nih.gov](mailto:NDAHelp@mail.nih.gov).
5. The appropriate Data Access Committee (DAC) will review the DUC and will decide whether to permit the access based on the expectations outlined in the DUC. In the event that access raises a concern related to privacy and confidentiality, risks to populations or groups, or other concerns, the DAC will consult with other experts as appropriate.
6. The DAC(s) will notify NDA staff if the access request has been approved, and appropriate permissions to the Recipient’s account will then be provided. The user will receive a notification of their account update with any modified user name, passwords, or instructions for accessing the appropriate data.
7. Optional: System Training (if request approved): Contact NDA Staff through [NDAHelp@mail.nih.gov](mailto:NDAHelp@mail.nih.gov) to discuss specific training needs the user may have and schedule the training and/or to be directed to the appropriate online tutorials.

### **IV. Terms and Conditions**

I request approval to access data from one or more of the datasets within the NDA for the purpose of scientific investigation or the planning of clinical research studies as described in the following DUC. I, and my collaborating investigators at my institution, agree to the following terms:

#### **1. Research Project/Research Use**

These data will be used by Recipient in connection with the “Research Project” generally indicated and described in the Research Use Statement on the DUC. If the Project involves collaborator(s), their

names and the work they will perform is also included in the Recipient Information and Certifications section.

## **2. Non-transferability of Agreement**

This DUC is not transferable. If the Recipient changes institutions and wishes to retain access to the NDA, a new DUC in which the new institution acknowledges and agrees to the provisions of the DUC is necessary. If the Recipient changes Institutions and does not complete a new DUC, the Recipient agrees to destroy all copies of NDA dataset(s) obtained under this DUC, including backup or working copies at the original site.

## **3. Non-Identification of Subjects**

Recipient agrees that data will not be used to establish the individual identities of any of the study participants from whom data were obtained and/or contact the individual study participant, except as permitted by law (e.g., in connection with a separately negotiated collaboration with the original research team or the enrollment of the consented subject in the Recipient's study). Recipient agrees to notify the NIH as soon as possible if, upon use of NDA data, the Recipient discovers identifying information in that data.

## **4. GUID and Access to Submitted Data**

The Global Unique Identifier (GUID) is a computer-generated alphanumeric code that is unique to each research participant. The GUID allows the NDA to link together all submitted information on a single participant, giving researchers access to information even if the data were collected at different locations or through different studies. If Recipients request access to data on individuals for whom they themselves have previously submitted data to the NDA, they may gain access to more data about an individual participant than they themselves collected. Consequently, these research activities may be considered "human subjects research" within the scope of 45 C.F.R. 46. Recipients must comply with the requirements contained in 45 C.F.R. 46, as applicable, which may require that they obtain Institutional Review Board (IRB) approval of their Research Project. For more guidance, check with your local IRB and/or OHRP.

## **5. Data Disclaimers**

Recipient acknowledges that the NIH does not and cannot warrant the results that may be obtained by using any data included therein. The NIH disclaims all warranties as to the accuracy of the data in the NDA or the performance or fitness of the data for any particular purpose.

<https://data-archive.nimh.nih.gov/tools#cloud>.

## **6. Notification to the NIH of Publication**

Recipient agrees to promptly notify the NIH via email at [NDAHelp@mail.nih.gov](mailto:NDAHelp@mail.nih.gov) as to when and where a publication (or other public disclosure) from the Research Project will appear, whether reporting positive or negative results. The notification will include the title, authors, place of publication, and publication date. **Recipient also agrees to create an NDA Study** (<https://data-archive.nimh.nih.gov/training/modules/study.html>) to further define the publication (or other disclosure) and link it to the underlying data.

## **7. Data Access for Research**

Data in the NDA are eligible for access by qualified researchers, pursuant to the terms set forth in this DUC. Recipients acknowledge that other researchers have access to the data and that downloading, utilization, and duplication of research is a distinct possibility.

Data from ongoing studies which have not yet been made broadly accessible to NDA account holders may be eligible for restricted “Ongoing Study Access” following coordination and consultation with the Submitter and pursuant to the Additional Standards for Accessing Data While a Study is Ongoing (see <https://data-archive.nimh.nih.gov/rdocdb/s/sharedcontent/about/standard-operating-procedures.html#sop9>). This Ongoing Study Access policy pertains to NDAR, NDCT, RDoCdb, and ABCD datasets.

#### **8. No Distribution of Data**

Recipient agrees to retain control over data, and further agrees not to transfer data, with or without charge, to any other entity or any individual. Recipient agrees not to sell the data in any form to any entity or individual or to distribute the data to anyone other than his/her research staff who will also agree to the terms within this DUC. This applies to all versions of NDAR data, all versions of PedsMRI data, all versions of NDCT data, all versions of RDoCdb data, and all versions of ABCD Study data.

#### **9. Acknowledgments**

Submitters have made a substantial long-term contribution to NDAR, PedsMRI, NDCT, RDoCdb, and/or ABCD by submitting data to the NDA. The NIH seeks to encourage appropriate data use and collaborative relationships by outside investigators with the Submitters and to ensure that the contribution of the Submitters is appropriately acknowledged.

Recipient agrees to acknowledge the NDA informatics platform; the appropriate repository (NDAR, and/or PedsMRI, and/or NDCT, and/or RDoCdb, and/or ABCD); the relevant data identifier(s) (e.g., a serial number generated via the NDA Study feature [see [http://ndar.nih.gov/access\\_ndar\\_study.html](http://ndar.nih.gov/access_ndar_study.html) or similar feature to be made available on the NDCT and RDoCdb Websites]); and, the Recipient’s federal research funding sources in any and all oral and written presentations, disclosures, and publications (including abstracts, as space allows) resulting from any and all analyses of data using the NDA tools, whether or not Recipient is collaborating with Submitter(s). The oral or written presentation, disclosure, or publication should include the following acknowledgement or other similar language, which includes a disclaimer of NIH endorsement, as appropriate:

##### **NDAR Acknowledgement**

Data and/or research tools used in the preparation of this manuscript were obtained from the NIH-supported National Database for Autism Research (NDAR). NDAR is a collaborative informatics system created by the National Institutes of Health to provide a national resource to support and accelerate research in autism. Dataset identifier(s): [NDA Collection ID(s) or NDA Digital Object Identifier (DOI)]. This manuscript reflects the views of the authors and may not reflect the opinions or views of the NIH or of the Submitters submitting original data to NDAR.

##### **Pediatric MRI Acknowledgement**

Data used in the preparation of this article were obtained from the NIH Pediatric MRI Data Repository created by the NIH MRI Study of Normal Brain Development. This is a multisite, longitudinal study of typically developing children from ages newborn through young adulthood conducted by the Brain Development Cooperative Group and supported by the National Institute of Child Health and Human Development, the National Institute on Drug Abuse, the National Institute of Mental Health, and the National Institute of Neurological Disorders and Stroke (Contract #s N01-HD02-3343, N01-MH9-0002, and N01-NS-9-2314, -2315, -2316, -2317, -2319 and -2320). A listing of the participating sites and a complete listing of the study investigators can be found at

[http://pediatricmri.nih.gov/nihpd/info/participating\\_centers.html](http://pediatricmri.nih.gov/nihpd/info/participating_centers.html). Dataset identifier(s): [NDA Collection ID(s) or NDA Digital Object Identifier (DOI)]. This manuscript reflects the views of the authors and may not reflect the opinions or views of the NIH.

#### **NDCT Acknowledgement**

Data and/or research tools used in the preparation of this manuscript were obtained and analyzed from the controlled access datasets distributed from the NIMH-supported National Database for Clinical Trials (NDCT). NDCT is a collaborative informatics system created by the National Institute of Mental Health to provide a national resource to support and accelerate discovery related to clinical trial research in mental health. Dataset identifier(s): [NDA Collection ID(s) or NDA Digital Object Identifier (DOI)]. This manuscript reflects the views of the authors and may not reflect the opinions or views of the NIMH or of the Submitters submitting original data to NDCT.

#### **RDoCdb Acknowledgement**

Data and/or research tools used in the preparation of this manuscript were obtained and analyzed from the controlled access datasets distributed from the NIMH-supported Research Domain Criteria Database (RDoCdb). RDoCdb is a collaborative informatics system created by the National Institute of Mental Health to store and share data resulting from grants funded through the Research Domain Criteria (RDoC) project. Dataset identifier(s): [NDA Collection ID(s) or NDA Digital Object Identifier (DOI)]. This manuscript reflects the views of the authors and may not reflect the opinions or views of the NIH or of the Submitters submitting original data to RDoCdb.

#### **ABCD Acknowledgment**

Data used in the preparation of this article were obtained from the Adolescent Brain Cognitive Development (ABCD) Study (<https://abcdstudy.org>), held in the [NIMH Data Archive \(NDA\)](#). This is a multisite, longitudinal study designed to recruit more than 10,000 children age 9-10 and follow them over 10 years into early adulthood. The ABCD Study is supported by the National Institutes of Health and additional federal partners *under award numbers U01DA041022, U01DA041025, U01DA041028, U01DA041048, U01DA041089, U01DA041093, U01DA041106, U01DA041117, U01DA041120, U01DA041134, U01DA041148, U01DA041156, U01DA041174, U24DA041123, and U24DA041147*. A full list of supporters is available at <https://abcdstudy.org/nih-collaborators>. A listing of participating sites and a complete listing of the study investigators can be found at <https://abcdstudy.org/principal-investigators.html>. ABCD consortium investigators designed and implemented the study and/or provided data but did not necessarily participate in analysis or writing of this report. This manuscript reflects the views of the authors and may not reflect the opinions or views of the NIH or ABCD consortium investigators.

(Add the following sentence for a report that uses data from a versioned release)

The ABCD data repository grows and changes over time. The ABCD data used in this report came from (insert the appropriate doi here. Dois can be found at ###).

(Add the following sentence for a report that uses data from the fast track release)

The ABCD data repository grows and changes over time. The ABCD data used in this report came from the fast track data release. The raw data are available at (insert the doi here for a NDA study. Instructions on how to create a NDA study are available at <https://data-archive.nih.gov/training/modules/study.html>).

If the Research Project involves collaboration with Submitters or NIH staff (as indicated in the DUC), then Recipient will acknowledge Submitters or NIH staff as co-authors, if appropriate, on any presentation, disclosure, or publication.

#### **10. Non-Governmental Endorsement; Liability**

Recipient agrees not to claim, infer, or imply endorsement by the United States Government, the Department of Health & Human Services, the National Institute of Health, or the National Institute of Mental Health of the Research Project, the entity, or personnel conducting the Research Project or any resulting commercial product(s). The United States Government assumes no liability except to the extent provided under the Federal Tort Claims Act (28 U.S.C. § 2671-2680).

#### **11. Recipient's Compliance with Institutional Requirements**

Recipient acknowledges that access, if provided, is for research that is approved by the Institution, which must be operating under an OHRP-approved Federal-wide Assurance. Furthermore, Recipient agrees to comply with all applicable rules for the protection of human subjects, which may include Department of Health and Human Services regulations at 45 C.F.R. Part 46, and other federal and state laws for the use of this data. Recipient agrees to report promptly to the NIH any unanticipated problems involving risks to subjects or others. This DUC is made in addition to, and does not supersede, any of Recipient's institutional policies or any local, State, and/or Federal laws and regulations that provide additional protections for human subjects.

#### **12. Recipient's Permission to Post Information Publicly**

Recipient agrees to permit the NIH to summarize, on the appropriate NDA web site, the Recipient's research use of data along with the Recipient's name and organizational/institutional affiliation.

#### **13. Privacy Act Notification**

The Recipient agrees that information collected from the Recipient, as part of the DUC, may be made public in part or in whole for tracking and reporting purposes. This Privacy Act Notification is provided pursuant to Public Law 93-579, Privacy Act of 1974, 5 U.S.C. Section 552a. Authority for the collection of the information requested below from the recipient comes from the authorities regarding the establishment of the National Institutes of Health, its general authority to conduct and fund research and to provide training assistance, and its general authority to maintain records in connection with these and its other functions (42 U.S.C. 203, 241, 289l-1 and 44 U.S.C. 3101), and Section 301 and 493 of the Public Health Service Act. These records will be maintained in accordance with the Privacy Act System of Record Notice 09-25-0156 ( ) covering "Records of Participants in Programs and Respondents in Surveys Used to Evaluate Programs of the Public Health Service, HHS/PHS/NIH/OD." The primary uses of this information are to document, track, and monitor and evaluate the use of NDA datasets, as well as to notify interested recipients of updates, corrections or other changes to the database.

The Federal Privacy Act protects the confidentiality of some NIH records. The NIH and any sites that are provided access to the datasets will have access to the information collected by the NIH from the Recipient, as part of the DUC for the purposes described above. In addition, the Act allows the release of some information without the Recipient's permission; for example, if it is requested by members of Congress or other authorized individuals. The information requested in this DUC is voluntary, but necessary for obtaining access to data in the NDA.

#### **14. Security**

Recipient acknowledges the expectations set forth by the attached “Information Technology Security Best Practices and Security Standards” for the use and security of data.

#### **15. Annual Update/Research Use Reporting**

When requested, Recipient will provide to [NDAHelp@mail.nih.gov](mailto:NDAHelp@mail.nih.gov), as applicable, an annual summary of research accomplishments from using NDA data in an updated biographical sketch or CV. This annual summary may also be submitted via an NDA web site link if the function is available. The NIH encourages Recipients who publish manuscripts based on a combination of NDA data and data collected independent of the NDA to consider submitting the complete analyzed dataset to the NDA, if possible.

#### **16. Amendments**

Amendments to this DUC must be made in writing and signed by authorized representatives of all parties.

#### **17. Termination**

Either party may terminate this DUC, without cause, provided 30 days’ written notice to the other party. Recipients agree to immediately report violations of this agreement to the NDA DAC. Additionally, the NIH may terminate this agreement with 5 days’ written notice if the NIH determines, in its sole discretion, that the Recipient has committed a material breach of this DUC. The NIH may, in its sole discretion, provide Recipient with 30 days’ notice to remedy a breach before termination. Closed accounts may be reactivated upon submission of an updated NDA DUC.

#### **18. One-Year Term and Access Period**

Recipients who are granted permission to access data from any of the NDA repositories receive an account with permission to access the data from a specified repository that is valid for a period of one year. This DUC will automatically terminate at the end of one year. An account may be renewed upon recertification of a new DUC. Accounts that remain inactive for 12 consecutive months may be closed at the discretion of the NIH.

#### **19. Accurate Representations**

Recipient expressly certifies that the contents of any statements made or reflected in this document are truthful and accurate.

### ***V. Information Security Best Practices and Security Standards***

The purpose of these Security Best Practices and Security Standards, which are subject to applicable law, is to provide minimum security standards and best practices for individuals who use the NDA to submit, access, and analyze data. Keeping information from the NDA secure through these best practices is important. Subject to applicable law, Recipients agree to immediately report breaches of data confidentiality to the NDA DAC.

#### **Security Best Practices**

We suggest that you:

- Do not attempt to override technical or management controls to access data for which you have not been expressly authorized.

- Do not use your trusted position and access rights to exploit system controls or access data for any reason other than in the performance of the proposed research.
- Do not allow others to use your account. Each user must obtain and use their own account.
- Ensure that anyone directed to use the system has access to, and is aware of, Information Security Best Practices and Security Standards as well as all existing policies and procedures relevant to the use of the NDA, including but not limited to, the NDA Policy at <http://ndar.nih.gov/policies.html> and 45 C.F.R. Part 46.
- Follow the password policy which includes:
  - Choose passwords of at least seven characters including at least three of the following types of characters: capital letters, lower case letters, numeric characters and other special characters.
  - Change your passwords every six months.
  - Protect your password from access by other individuals—for example, store it electronically in a secure location.
- Notify NDA staff, as permitted by law, at [NDAHelp@mail.nih.gov](mailto:NDAHelp@mail.nih.gov) of security incidents, or any incidents of suspected fraud, waste or misuse of NDA or when access to NDA is no longer required.

### **Security Standards**

- Protect the data, providing access solely to authorized researchers permitted access to such data by your institution or to others as required by law.
- When you download NDA data, download the data to a secured computer or server with strong password protection.
- For the computers hosting NDA data, ensure that they have the latest security patches and are running virus protection software.
- Make sure the data are protected from anonymous access over the Internet.
- If you leave your office, close out of data files or lock your computer. Consider the installation of a timed screen saver with password protection.
- Avoid storing data on a laptop or other portable medium. If storing data on such a device, consider encrypting the data.
- When finished using the data, destroy the data or otherwise dispose of it properly, as permitted by law.

## ***VI. Burden Disclosure Statement***

Public reporting burden for this collection of information is estimated to vary from 15 min to 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.** Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0667). Do not return the completed form to this address.

## VII. NIMH Data Archive Recipient Information and Certifications

Date: \_\_\_\_\_

### 1. Access Request Type:

Application Type		
NEW	RENEWAL	
<input type="checkbox"/>	<input type="checkbox"/>	National Database for Autism Research (NDAR)
<input type="checkbox"/>	<input type="checkbox"/>	Pediatric MRI Data Repository (PedsMRI)
<input type="checkbox"/>	<input type="checkbox"/>	National Database for Clinical Trials (NDCT)
<input type="checkbox"/>	<input type="checkbox"/>	Research Domain Criteria Database (RDoCdb)
<input type="checkbox"/>	<input type="checkbox"/>	Adolescent Brain Cognitive Development (ABCD)

### 2. Lead Recipient:

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_  
Degree: \_\_\_\_\_ Academic Position (or Title): \_\_\_\_\_  
Institution: \_\_\_\_\_ Department: \_\_\_\_\_  
Street Address: \_\_\_\_\_  
City: \_\_\_\_\_ State/Province: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_ Phone: \_\_\_\_\_ FAX: \_\_\_\_\_  
Institutional E-mail Address: \_\_\_\_\_  
Research Project (title): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

### 3. Research Data Use Statement: Describe the purpose of the scientific investigation, scholarship or teaching, or other form of research and research development for which you are requesting access to the NIMH Data Archive.

**Senior/Key Person Profile (Collaborating Investigator)**

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_  
Degree: \_\_\_\_\_ Academic Position (or Title): \_\_\_\_\_  
Institution: \_\_\_\_\_ Department: \_\_\_\_\_  
Street Address: \_\_\_\_\_  
City: \_\_\_\_\_ State/Province: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_ Phone: \_\_\_\_\_ FAX: \_\_\_\_\_  
Institutional E-mail Address: \_\_\_\_\_  
Project Role: \_\_\_\_\_ Other Project Role Category: \_\_\_\_\_

**Senior/Key Person Profile (Collaborating Investigator)**

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_  
Degree: \_\_\_\_\_ Academic Position (or Title): \_\_\_\_\_  
Institution: \_\_\_\_\_ Department: \_\_\_\_\_  
Street Address: \_\_\_\_\_  
City: \_\_\_\_\_ State/Province: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_ Phone: \_\_\_\_\_ FAX: \_\_\_\_\_  
Institutional E-mail Address: \_\_\_\_\_  
Project Role: \_\_\_\_\_ Other Project Role Category: \_\_\_\_\_

**Senior/Key Person Profile (Collaborating Investigator)**

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_  
Degree: \_\_\_\_\_ Academic Position (or Title): \_\_\_\_\_  
Institution: \_\_\_\_\_ Department: \_\_\_\_\_  
Street Address: \_\_\_\_\_  
City: \_\_\_\_\_ State/Province: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_ Phone: \_\_\_\_\_ FAX: \_\_\_\_\_  
Institutional E-mail Address: \_\_\_\_\_  
Project Role: \_\_\_\_\_ Other Project Role Category: \_\_\_\_\_

**Senior/Key Person Profile (Collaborating Investigator)**

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_  
Degree: \_\_\_\_\_ Academic Position (or Title): \_\_\_\_\_  
Institution: \_\_\_\_\_ Department: \_\_\_\_\_  
Street Address: \_\_\_\_\_  
City: \_\_\_\_\_ State/Province: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
Country: \_\_\_\_\_ Phone: \_\_\_\_\_ FAX: \_\_\_\_\_  
Institutional E-mail Address: \_\_\_\_\_  
Project Role: \_\_\_\_\_ Other Project Role Category: \_\_\_\_\_

*Use additional sheets for additional profiles as needed.*

**4. Authorized Institutional Business Official** (as registered in the NIH eRA Commons:  
<https://commons.era.nih.gov/commons>)

Name: \_\_\_\_\_ Email Address: \_\_\_\_\_

**5. Signatures:**

By signing and dating this DUC to request access to data in the NIMH Data Archive, I and my Institutional Official certify that we will abide by the Data Use Terms and Conditions defined in this DUC. I further acknowledge that I have shared this document with any Other Recipients who will participate in the use of data from the NIMH Data Archive. My Institutional Business Official also acknowledges that they have shared this document with appropriate institutional organizations.

\_\_\_\_\_  
Lead Recipient Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Institutional Business Official Signature *(if required)*

\_\_\_\_\_  
Date

**Inquiries and requests to access data in the NIMH Data Archive should be sent, preferably by email, to:**

Office of Technology Development and Coordination (OTDC), Program Director  
National Institute of Mental Health | National Institutes of Health  
6001 Executive Boulevard, Room 7163, MSC 9640 Bethesda, MD 20892-9640  
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